U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

Sheet

1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of	2

Complete if Known				
Application Number	10/570,553			
Filing Date	March 3, 2006			
First Named Inventor	Benjamin Chu			
Art Unit	1796			
Examiner Name	Hui H. Chin			
Attorney Docket Number	R-7695 (1339-5 PCTUS)			

Examiner Initials*	Cite No.1	Document Number	PATENT DOCUMENTS Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
		Number-Kind Code ^{2 (f/know)} MM-DD-YYYY	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
		US-6,187,823 B1	02-13-2001	Haddon et al.		
	<u> </u>					
	<u> </u>					
						
	-					
	 				V	
	 	•				
	-					
	1					
	 					
	1					
					<u> </u>	
					<u> </u>	

		FOREIGN PA	ATENT DOCU	MENTS WITH REFERENCES		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T ₆
	+	Country Code ³ Number ⁴ Kind Code ³ (if known) WO 02/060812	08-08-2002	William Marsh Rice University	or Relevant Figures Appear	+
		KR 2003005710 (Abstract Only)	01-23-2003	Korea Choongang Educational Found		
						1

Examiner	Date Considered
Examiner: Initial if citation considered, whether or nor citation is in conformance we citation if not in conformance and not considered. Include copy of this form with ne	with MPEP §609; Draw line through ext communication to the applicant.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 2

Complete if Known				
Application Number	10/570,553			
Filing Date	March 3, 2006			
First Named Inventor	Benjamin Chu			
Art Unit	1796			
Examiner Name	Hui H. Chin			
Attorney Docket Number	R-7695 (1339-5 PCTUS)			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		QIN, et al., "Large-Scale Preparation of Solubilized Carbon Nanotubes", Chem. Mater. Vol. 15, pp. 3256-3260 (2003).	
		FRANKLAND, et al., "Molecular Simulation of the Influence of Chemical Cross-Links on the Shear Strength of Carbon Nanotube-Polymer Interfaces", <i>J. Phys. Chem. B</i> Vol. 106, pp. 3046-3048 (2002).	
		BRATCHER, et al., "Study in the Dispersion of Carbon Nanotubes", Mat. Res. Soc. Symp. Proc., Vol. 706, pp. 1-6 (2002).	
		ANDREAS HIRSCH, "Functionalization of Single-Walled Carbon Nanotubes", Angew. Chem. Int. Ed., Vol. 41, No. 11, pp. 1853-1859 (2002).	

	_	OTHER DOCUMENTS	
Examiner Initials*	Cite No.1		T²
		Copy of International Search Report issued in corresponding European Application No.: EP 04 82 1721 dated February 11, 2009.	

Examiner		Date Considered	_

Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.